

10/586240

IAP11 Rec'd PCT/PTO 17 JUL 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Noriko SUGIMOTO et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006\_0986A  
Filed July 17, 2006 :

PLAYBACK APPARATUS, PLAYBACK  
AUTHORIZATION SERVER, PROGRAM, AND  
SYSTEM INTEGRATED CIRCUIT  
[Corresponding to PCT/JP2005/001548  
Filed January 27, 2005]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0976

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, **or**

ATTACHMENT C

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

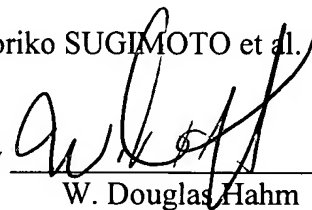
- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:  
EP 0851418 corresponds to US 6,141,483;  
EP 1115117 corresponds to US 2001/007545 A1; and  
US 5,598,276 corresponds to US 5,574,567.
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Noriko SUGIMOTO et al.

By



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July 17, 2006

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INFORMATION DISCLOSURE STATEMENT

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: July 17, 2006

ATTY DOCKET NO.  
2006\_0986ASERIAL NO.  
NEWAPPLICANT  
Noriko SUGIMOTO et al.FILING DATE  
July 17, 2006

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,141,483	10/00	Yamada et al.			
	AB	2003/0016744 A1	01/03	Nakagawa			
	AC	2001/0007545 A1	07/01	Ueda et al.			
	AD	5,598,276	01/97	Cookson et al.			
	AE	5,574,567	11/96	Cookson et al.			
	AF	5,903,705	05/99	Yonemitsu et al.			
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	0 851 418	07/98	EP			
	AK	1 115 117	07/01	EP			
	AL	2002-163858	06/02	JP			Abstract
	AM						
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	SAKAMOTO ET AL: "Introduction of the Content ID Forum (cidf)" APPLICATIONS AND THE INTERNET, 2002. (SAINT 2002). PROCEEDINGS. 2002 SYMPOSIUM ON NARA, JAPAN, 28 JAN. -1 FEB. 2002, LOS ALAMITOS, CA, USA, IEEE COMPUT. SOC, US, 28 January 2002, pages 128-129, XP010587835.
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.